

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

OPTICALLY PUMPED, SURFACE-EMITTING SEMICONDUCTOR LASER DEVICE AND METHOD FOR THE MANUFACTURE THEREOF

Case No. <u>P01,0121</u>	, the specific	eation of which
(check	is attached hereto was filed on Application Seria and was amended (if applicable)	o, as il No
I hereby state that I hav including the claims as amended	e reviewed and und by any amendment	erstand the contents of the above identified specification, referred to above.
I acknowledge the duty me to be material to the patental 1.56.	to disclose to the Upility of this applicat	nited States Patent Office all information which is known to ion in accordance with Title 37, Code of Federal Regulations,
or our invention there or our invention there or our invention thereof or more sale in the United States of American not been patented or made the any country foreign to the United assigns more than twelve months	of, or patented or of than one year prior rica more than one the subject of an inve d States of America prior to this application any con-	tion was ever known or used in the United States of America escribed in any printed publication in any country before my to this application, that the same was not in public use or on year prior to this application, and I believe that the invention ntor's certificate issued before the date of this application in on an application filed by me or my legal representatives or ation, and that no application for patent or inventor's untry foreign to the United States of America prior to this— igns, except as identified below:
application(s) for patent or inven Prior Foreign Application	tor's certificate liste on(s)	
Number	Country	Date
100 26 734.3 101 18 079.4	Germany Germany	May 30, 2000 February 20, 2001
and have also identified below an before that of the above listed ap Prior Foreign Application	plication on which 1	n for patent or inventor's certificate having a filing date priority is claimed:
Number	Country	Date

^{1 (}b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

(1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or

(2) It refutes, or is inconsistent with, a position the applicant takes in:

(i) Opposing an argument of unpatentability relied on by the Office, or

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

If no priority is med, I have identified all foreign patent appoint one filed prior to this application:

Number Country Date

And I hereby appoint all Attorneys Identified by United States Patent & Trademark Office Customer Number 26574, who are all members of the firm of Schiff Hardin and Waite.

Telephone 312/-258-5500 Patent Department

my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and direct that all correspondence be forwarded to:

Schiff, Hardin & Waite
Atten: Patent Department
6600 Sears Tower, Chicago, Illinois 60606 -6473
Customer Number 26574

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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